SP01-0

## SEP 1 6 2002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Monica K. Davis

Serial No:

09/840,856

Filed:

04/24/2001

For:

METHOD FOR MANUFACTURING

**OPTICAL GRATINGS** 

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98

Asst. Commissioner of Patents and Trademarks Washington, DC 20231

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application.

One copy of the reference(s) is enclosed.

Each of the enclosed reference(s) was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

Svestana Short

Registration No. 34,432 Corning Incorporated SP-TI-03-1

Corning, NY 14831

(607) 974-0412

Date: 9/9/02

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Asst. Commissioner of Patents and Trademarks, Washington, D.C. 20231

Examiner: TBD

Group Art Unit: 1731

Date of Deposit

Svetlana Short

Name of applicant, assignee, or Registered Representative

Signature

Date of Signature

OPY OF PAPERS

| гОг  | DA DT                                  | 2-1449 (MODIFIE | D)      | ATTORNEY DOCKET                       | VO.  | SEI   | UAL N         | 0              |               |  |
|--|--|-----------------|---------|---------------------------------------|------|-------|---------------|----------------|---------------|--|
|  | (IVI P I C                             | O-1449 (MODIFIE | D)      | ATTOMIET BOCKET NO.                   |      |       | BERGAL NO.    |                |               |  |
| LIST OF PATENTS AND  |  |                 |         | 15275-10070 / SP01-088                |      |       | 09/840,856    |                |               |  |
| TRES   | PUI<br>Oi iaa                          | BLICATIONS      | TION    |                                       |      |       |               |                |               |  |
| FOR APPLICANTS INFORMATION DISPLOSURE STATEMENT P 1 6 2002 |  |                 |         | APPLICANT Davis et al.                |      |       |               |                |               |  |
|  |  |                 |         |                                       |      |       |               |                |               |  |
|  |  |                 |         | FILING DATE 04/24/2001                |      |       | GROUP: 1731   |                |               |  |
| ي ند   | 3/                                     |                 |         |                                       |      |       |               |                |               |  |
| PASEKE   | NCE D                                  | ESIGNATION      |         | U.S. PATENT DOCUME                    | NTS  |       | <del>,</del>  | - 1            |               |  |
| Examiner<br>Initial  |  | Document Number | Date    | Name                                  |      | Class | Sub-<br>Class | if A           | ng D<br>Appro |  |
| .iiitiai   | AA                                     | 5,331,391       | 7/19/94 | Bukhshtab                             | 3:   | 56 ~  | 73.1          | ন্ত্ৰ          |               |  |
|  | AB                                     | 5,394,488       | 2/28/95 | Fernald et al.                        |      | 85    | 130           | 1              |               |  |
|  | AC                                     | 6,260,388       | 7/17/01 | Borrelli et al.                       | 6:   | 5 7   | 3933          | 10             |               |  |
|  | AD                                     |                 |         |                                       |      |       | د یک          | -              |               |  |
|  | AE                                     |                 |         |                                       |      |       | 2 2           |                | 2             |  |
|  | AF                                     |                 |         |                                       |      |       | 2003          |                |               |  |
|  | AG                                     |                 |         |                                       |      |       | 0             |                |               |  |
|  | AH                                     |                 |         |                                       |      | 1     |               |                |               |  |
|  | AI                                     |                 |         |                                       |      | *     |               |                |               |  |
|  | AJ<br>AK                               |                 |         |                                       |      |       |               |                |               |  |
|  | AK                                     | <u></u>         |         |                                       |      | -     | J             |                |               |  |
|  |  |                 | OREIGN  | PATENT DOCUMENTS                      |      |       |               |                |               |  |
|  |  | F               | OICLIOI | <u> </u>                              |      |       |               |                |               |  |
|  |  | Document Number | Date    | Country                               | Clas |       | Sub-          | Transla<br>Yes |               |  |
|  | AJ.                                    |                 |         | Country                               | Clas |       | Sub-<br>Class | Transla<br>Yes |               |  |
|  | AL<br>AM                               |                 |         | Country                               | Cla  |       | 1             |                |               |  |
|  |  |                 |         | Country                               | Cla  |       | 1             |                |               |  |
|  | AM                                     |                 |         | Country                               | Clas |       | 1             |                |               |  |
|  | AM<br>AN<br>AO<br>AP                   |                 |         | Country                               | Clas |       | 1             |                | No No         |  |
|  | AM<br>AN<br>AO                         |                 |         | Country                               | Clas |       | 1             |                |               |  |
|  | AM<br>AN<br>AO<br>AP                   | Document Number | Date    |                                       |      |       | 1             |                |               |  |
|  | AM<br>AN<br>AO<br>AP<br>AQ             | Document Number | Date    | Country  Author, Title, Date, Pertine |      |       | 1             |                | No            |  |
|  | AM<br>AN<br>AO<br>AP<br>AQ             | Document Number | Date    |                                       |      |       | 1             |                | No            |  |
|  | AM<br>AN<br>AO<br>AP<br>AQ<br>AR<br>AS | Document Number | Date    |                                       |      |       | 1             |                | No            |  |
|  | AM<br>AN<br>AO<br>AP<br>AQ             | Document Number | Date    |                                       |      |       | 1             |                | No            |  |
|  | AM AN AO AP AQ AR AR AS AT             | Document Number | Date    |                                       |      |       | 1             |                |               |  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.